

STATUS OF AUDITS STARTED DURING 2008/09

Audit	Status	Audit Opinion
Fundamental Systems		
Payroll	Draft with Client	
Education FMS	Work in Progress	
Council Tax and NDR	Work in Progress	
Creditors	Draft with Client	
Bank Reconciliation	Final	Good
Asset Register	Final	Satisfactory
Financial Management System	Draft with Client	
ICT Financial Management System	Work in Progress	
Non Fundamental Systems		
Payments to Independent Providers	Final	Unsatisfactory
Governance and Anti Fraud		
Brockington Ramp	Final	n/a
Scheme of Delegation - Resources	Audit Manager-Review	
Scheme of Delegation - Corporate	Work in Progress	
Staff Gift and Hospitality Register	Audit Manager-Review	
Other Key Systems		
Members Register of Interests and Gifts	Final	Satisfactory
Cash Office/Post Opening	Final	Satisfactory
ICT Protocols and Controls		
E-Pop Cedar E Purchasing	Final	Good
Access Controls	Final	Satisfactory
Review of Computer Systems, Data, Applications and Skills CYPD and ICT Services	Final	n/a
ISO 27001 Top level ICT Operation Procedures (QMS)	Final	n/a
ISO 27001 Risk Treatment (3358)	Final	n/a
ISO 27001 Team Compliance (3360)	Final	n/a
ISO 27001 Physical Security (3359)	Final	n/a
ISO 27001 Third Party Management (3357)	Final	n/a
Smart Phones	Work in Progress	
ISIS system	On going	
Performance Management		
Number of private sector dwellings returned into occupation (BVPI 64)	Final	Good
Speed of processing new claim to Housing Benefit/Council Tax Benefit. (BVPI 78a)	Final	Good
Speed of processing changes of circumstances to Housing Benefit/Council Tax Benefit. (BVPI 78b)	Final	Good
Accuracy of Housing Benefit/Council Tax Benefit (BVPI 79a)	Final	Good
Housing Waste Management (recycling) (BVPI 82a)	Draft with Client.	
Housing Waste Management (Composting) (BVPI 82b)	Draft with Client	
Pedestrian crossings with facilities for disabled people (BVPI 165)	Final	Satisfactory

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Average length of Stay in Bed and Breakfast (BVPI 183a)	Final	Satisfactory
Average length of stay in Hostels (BVPI 183b)	Final	Good
Local street and environmental cleanliness (BVPI 199abc)	Final	Satisfactory
Repeat Homelessness (BVPI 214)	Final	Good
Housing Strategy Statistical Appendix (HSSA) 2008 – Vacant Dwellings	Final	Satisfactory
Schools Establishment Audits (DCSF Standard)		
Trinity Primary School	Audit Manager- Review	
Riverside Primary School	Draft with Client	
St. Thomas Cantilupe C.E Primary School	Draft with Client	
Blackmarston Special School	Final	Standard met
Ledbury Primary School	Final	Standard met
St Mary's C.E Primary School	Final	Standard met
Holmer C E. Primary School	Draft with Client	
Marlbrook Primary	Final	Standard met
St. Paul's C.E. Primary School	Final	Standard met
Lugwardine Primary School	Final	Standard met
Weobley Primary School	Audit deferred	
St. Francis Xavier R.C. School	Final	Standard met
Kingstone & Thruxton Primary School	Audit deferred	
Barrs Court Special School	Final	Standard met
Hampton Dene Primary	Final	Standard met
Madley Primary School	Final	Action plan in place to meet the standard by end of November 2008.
Much Birch C. E. Primary School	Final	Standard met
Orleton C. E. Primary School	Draft with Client	
Dilwyn Primary School	Final	Standard met
Broadlands Primary School	Audit Manager-Review	
The Minster - follow up	Final	Standard met
Verification and Probity		
Local Area Agreement Grant	Final	n/a
Flood Grant Claim	Final	n/a
Whitecross High –School and Sports Co-ordinator Grant	Final	n/a
Minster College- School and Sports Co-ordinator Grant	Work in progress	
Recommendation Follow up		
Telephone Usage	Final	n/a
Use of ICT Contractors (no.1)	Final	n/a
ICT Petty Cash	Final	n/a
ICT FMS (no.1)	Final	n/a
Housing Performance Indicators	Final	n/a
Household Waste Management Performance Indicator	Final	n/a

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Pedestrian Crossing Performance Indicator	Final	n/a
Street Cleanliness Performance Indicator	Final	n/a

Quantification and Classification of Internal Control Levels

Control Level	Definition
Good	A few minor recommendations (if any).
Satisfactory	Minimal risk; a few areas identified where changes would be beneficial.
Marginal	A number of areas have been identified for improvement.
Unsatisfactory	Unacceptable risks identified, changes should be made.
Unsound	Major risks identified; fundamental improvements are required.

The Audit Opinion is based on a number of factors including the number of level 1 and, to a lesser extent, level 2 recommendations. Weighting is given to different aspects of the audit e.g. a high weighting for budgetary control. It is expected that larger systems and establishments will receive higher numbers of recommendations and allowance is made for this.